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## UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Fouad A. Tobagi et al.

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SERIAL NO.:

09/853,816

JUN 2 4 2002

FILED:

May 11, 2001

Technology Center 2600

TITLE:

System and Method for Controlling Data Transfer Rates on a

Network

**EXAMINER:** 

Unknown

**GROUP ART UNIT:** 

2664

ATTY.DKT.NO.:

**PA1689US** 

COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08 listing several references, copies of which are enclosed. The Examiner is requested to make these references of official record in the application. The references cited may be material to examination of the application and are submitted in compliance with Applicants' duty of disclosure as defined by 37 C.F.R. § 1.56.

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1	Sheet	1 .	of	1	Attorney Docket Number	PA1689US	

			U.S. PATENT DOCL	MENTS	
Examiner nitials*	Cite No. <sup>1</sup>	U.S. Patent Document  Number  (if known	Name of Patentee or Applicant	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4644463	Glenn T. Hotchkin et al.	02-17-1987	
		5886793	Keiji Satou	03-23-1999	
		5933413	Shashank C. Merchant et al.	08-03-1999	
	_	6026097	Paul A. Voois et al.	02-05-2000	
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				FORE	IGN PATENT DOCUMEN	TS		-
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Initials*	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> ( <i>if known</i> )	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.





OF 2664 #BT OMB 0651-00316-28-02

			Application Number	09/853,816
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2 7 2002	<b>FORM</b>		First Named Inventor	Fouad A. Tobagi  2664  JUN 2
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Total Number	r of Pages in This Sub	mission 5	Attorney Docket Numb	PA1689US
		ENCL	OSURES (chec	k all that apply)
Extension of Time  Express Abandon  Information Disclo  Certified Copy of F Document(s)  Response to Missi Incomplete Applica	ed  Dly  declaration(s)  Request  ment Request  sure Statement  Priority  ing Parts/	Drawing Licensir Petition Provisio Power of Change Address Termina Reques CD, Nu Remarks	to Convert to a smal Application of Attorney, Revocation of Correspondence of the Refund	Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below):  1) Postcard  2) PTO/SB/08A (2 Copies)  3) 4 Cited References
Firm or Individual name  Signature  Date  I hereby certify that this commail in an envelope address	Carr & Ferrell  Alendor  June 11, 2002	, LLP Cust	Reg. No. 4	3,091

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

> Respectfully submitted, Fouad A. Tobagi et al.

Dated: <u>6/11/ಎಂ</u>ಎ

By: Mendi R. Schepler, Reg. No. 43,091

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## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date printed below:

Dated: 6/11/2002